



Informatica B2B Data Transformation 10.5.2 DTCC-NSCC Library Release Notes

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Use the *Data Transformation DTCC-NSCC Library Release Notes* to learn about upgrade changes in the Data Transformation DTCC-NSCC library.

The DTCC-NSCC library is compatible with all supported versions of Data Transformation. For more information about the library, see the *Data Transformation Libraries Guide*.

Changes to the DTCC - NSCC Library September 2022

The September 2022 DTCC-NSCC update includes new codes and new fields in records.

APP – Application Premium

Effective in the September 2022 update, APP - Application Premium includes the following changes to the APP Records:

APP 33/01 Application Record

The following table describes the modifications to the APP 33/01 Application Record code list:

Code List	Description
IRS Qualification Code	The following codes are added to the IRS Qualification code list: - 36 - Target Benefit Plan - 41 - Texas ORP - Optional Retirement Program Item # 4034

The following table describes the new field added to the APP 33/01 Application Record:

Field	Description
Introducing Third Party Platform	Optional. The Introducing Third Party Platform field includes the following details: <ul style="list-style-type: none"> - Field Location: 188 - 191 (4 bytes) - Type: Alphanumeric If present, Introducing Third Party Platform field must include one of the following valid code list values: <ul style="list-style-type: none"> - FIDX - Fiduciary Exchange - SMON - SIMON Markets, LLC - ENVN - Envestnet Item # 4332

APP 33/04 Premium Method Record

The following table describes the modification to the APP 33/04 Premium Method Record code list:

Code List	Description
Premium Method	The following code is added to the Premium Method code list: <ul style="list-style-type: none"> - PDE - Payroll Deduction Item # 4053

APP 33/32 Service Feature Record

The following table describes the modifications to the APP 33/32 Service Feature Record code list:

Code List	Description
Tax Filing Status	The following codes are added to the Tax Filing Status code list: <ul style="list-style-type: none"> - MJ - Married Filing Jointly - MS - Married Filing Separately - HH - Head of Household - QW - Qualifying Widow(er) with Dependent Child Item # 4233

APP 33/34 Service Feature Withholding Record

The following table describes the new fields added to the APP 33/34 Service Feature Withholding Record:

Field	Description
Withholding Amount Description	<p>Required on first occurrence. On every subsequent occurrence, if Withholding Amount (item # 4238) is used, then item # 4333 is required.</p> <p>The Withholding Amount Description field includes the following details:</p> <ul style="list-style-type: none"> - Field Location: 42-42, 64-64, 86-86, 108-108, 130-130, 152-152, 174-174, 196-196, 218-218, 240-240 (1 byte) - Type: Alphanumeric <p>If present, must be valid code list value:</p> <ul style="list-style-type: none"> - A - Income from job or pension (step 2b-iii) - B - Extra (step 4-c) - C - Deductions (step 4-b) - D - Other Income (not job or pension) (step 4) - E - Dependents and Other Credits (step 3 total) - F - Default - G - No Withholding - H - Selected <p>Item # 4333</p>

The following table describes the modifications to the APP 33/34 Service Feature Withholding Record:

Field	Description
Withholding Amount Type	Field renamed to Withholding Authority Item # 4240
Withholding Amount Withholding Amount Description Withholding Amount Qualifier Withholding Authority	<p>Number of tax withholding occurrences on the Service Feature Withholding Record expanded from 5 to 10.</p> <p>Filler Space Location: 245-288 (44 bytes)</p> <p>Items # 4238 # 4333 # 4239 # 4240</p>

The following table describes the modification to the APP 33/34 Service Feature Withholding Record code list:

Code List	Description
Withholding Authority	<p>The following code is added to the Withholding Authority code list:</p> <ul style="list-style-type: none"> - LO - Local <p>Item # 4240</p>

COM - Commissions

Effective in the September 2022 update, COM - Commissions includes the following changes to the COM Records:

COM 22/01 Contract Record #1

The following table describes the modifications to the COM 22/01 Contract Record #1:

Field	Description
Product Type Code	Conditional. If Contract ID (2007) is populated, then product type (2076) is required to be present. Item # 2076

COM 22/05 Contract Recipient Record

The following table describes the new field added to the COM 22/05 Contract Recipient Record:

Field	Description
Recipient State Tax Withholding	Optional. This amount represents that state withholding netted from the commissions earned or recouped from the non-resident recipient (agent). The Recipient State Tax Withholding field includes the following details: <ul style="list-style-type: none">- Field Location: 258 - 273 (16 bytes)- Type: Numeric- Format: 9(14)v99 Item # 2425

FAR - Financial Activity Reporting

Effective in the September 2022 update, FAR – Financial Activity Reporting includes the following changes to the FAR Records.

FAR 43/01 Contract Record

The following table describes the modifications to the FAR 43/01 Contract Record code list:

Code List	Description
IRS Qualification	The following codes are added to the IRS Qualification code list: <ul style="list-style-type: none">- 36 - Target Benefit Plan- 41 - Texas ORP - Optional Retirement Program Item # 5023

FAR 43/05 Contract Transaction Record

The following table describes the modifications to the FAR 43/05 Contract Transaction Record code list:

Code List	Description
Transaction Identifier	<p>The following codes are added to the Transaction Identifier code list:</p> <ul style="list-style-type: none"> - 60 - Advanced Premium Deposit Account - Deposit - 160 - Advanced Premium Deposit Account - Deposit Reversal - 61 - Advanced Premium Deposit Account - Maintenance - 161 - Advanced Premium Deposit Account - Maintenance Reversal - 62 - Advanced Premium Deposit Account - Interest Crediting - 162 - Advanced Premium Deposit Account - Interest Crediting Reversal - 63 - Advanced Premium Deposit Account - Premium Transfer - 163 - Advanced Premium Deposit Account - Premium Transfer Reversal - 64 - Advanced Premium Deposit Account - Withdrawal - 164 - Advanced Premium Deposit Account - Withdrawal Reversal - 51 - Strategy Fee - 151 - Strategy Fee Reversal - 54 - Strategy Fee Credit - 154 - Strategy Fee Credit Reversal <p>Item # 5057</p>
Transaction Source Indicator	<p>The following code is added to the Transaction Source Indicator code list:</p> <ul style="list-style-type: none"> - R - Carrier <p>Item # 5127</p>

POV - Positions and Values

Effective in the September 2022 update, POV - Positions and Values includes the following changes to the POV records:

POV 13/01 Contract Record

The following table describes the modifications to the POV 13/01 Contract Record code list:

Field	Description
IRS Qualification	<p>The following codes are added to the IRS Qualification code list:</p> <ul style="list-style-type: none"> - 36 - Target Benefit Plan - 41 - Texas ORP - Optional Retirement Program <p>Item # 3031</p>

POV 13/03 Contract Underlying Asset Record

The following table describes the modification to the POV 13/03 Contract Underlying Asset Record code list:

Code List	Description
Fund/Underlying Security Type	<p>The following code is added to the Fund/Underlying Security Type code list:</p> <ul style="list-style-type: none"> - IDD - Index - Downside Potential <p>Item # 3118</p>

POV 13/04 Contract Band/Guaranteed Loop Record

The following table describes the new fields added to the POV 13/04 Contract Band/Guaranteed Loop Record:

Field	Description
Grouping ID	Optional. The Grouping ID field includes the following details: <ul style="list-style-type: none">- Field Location: 237 - 240 (4 bytes)- Type: Alphanumeric Item # 3159

PRP - Positions for Retirement Plans

Effective in the September 2022 update, PRP – Positions for Retirement Plans includes changes to the PRP records:

PRP 93/03 Plan Fund Assets Record

The following table describes the new fields added to the PRP 93/03 Plan Fund Assets Record:

Field	Description
Third Party Platform Identifier	Optional. The Third Party Platform Identifier information should be used for Asset Class Identification. The Third Party Platform Identifier field includes the following details: <ul style="list-style-type: none">- Field Location: 204 - 233 (30 bytes)- Type: Alphanumeric Item # 8114
Third Party Platform Source	Conditional. If item #8114 is populated, then item #8115 must be present and with valid code. The usage of the identifier source determines which Third Party Platform Identifier is being referenced. The Third Party Platform Source field includes the following details: <ul style="list-style-type: none">- Field Location: 234 - 235 (2 bytes)- Type: Alphanumeric If present, must be valid code list value: <ul style="list-style-type: none">- 01 - Morningstar Item # 8115

PRP 93/11 Participant Fund Assets Record

The following table describes the new fields added to the PRP 93/11 Participant Fund Assets Record:

Field	Description
Third Party Platform Identifier	Optional. The Third Party Platform Identifier information should be used for Asset Class Identification. The Third Party Platform Identifier field includes the following details: - Field Location: 195 - 224 (30 bytes) - Type: Alphanumeric Item # 8114
Third Party Platform Source	Conditional. If item #8114 is populated, then item #8115 must be present and with valid code. The usage of the identifier source determines which Third Party Platform Identifier is being referenced. The Third Party Platform Source field includes the following details: - Field Location: 225 - 226 (2 bytes) - Type: Alphanumeric If present, must be valid code list value: - 01 - Morningstar Item # 8115

SUB - Subsequent Premium

Effective in the September 2022 update, SUB - Subsequent Premium includes the following changes to the SUB Records:

SUB 35/01 Sub-Payment Record

The following table describes the modifications to the SUB 35/01 Sub-Payment Record code list:

Code List	Description
IRS Qualification Code	The following codes are added to the IRS Qualification Code list: - 36 - Target Benefit Plan - 41 - Texas ORP - Optional Retirement Program Item # 4034

The following table describes the new fields added to the SUB 35/01 Sub-Payment Record:

Field	Description
Introducing Third Party Platform	Optional. The Introducing Third Party Platform field includes the following details: - Field Location: 188 - 191 (4 bytes) - Type: Alphanumeric If present, must be valid code list value: - FIDX - Fiduciary Exchange - SMON - SIMON Markets, LLC - ENVN - Envestnet Item # 4332

SUB 35/04 Premium Method Record

The following table describes the modification to the SUB 35/04 Premium Method Record code list:

Code List	Description
Premium Method	The following code is added to the Premium Method code list: - PDE - Payroll Deduction Item # 4053

Informatica Global Customer Support

You can contact a Global Support Center by telephone or through the Informatica Network.

To find your local Informatica Global Customer Support telephone number, visit the Informatica website at the following link:

<https://www.informatica.com/services-and-training/customer-success-services/contact-us.html>.

To find online support resources on the Informatica Network, visit <https://network.informatica.com> and select the eSupport option.